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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT MAINTENANCE
DIVISION

In Application of

Akira KAMIYA

: Mail Stop: ACCOUNTING DIVISION
REFUND BRANCH OFFICE

Serial No. 09/900,168

Filed July 9, 2001

: Attorney Docket No. 2001_0976A
Confirmation No. 5407

APPARATUS AND METHOD OF MULTIPLE DECODING

2006 APR 11 PM 4:23

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests a refund of \$180.00 charged on September 27, 2005 for an Information Disclosure Statement (IDS). Applicant asserts the charge is incorrect.


The charge appears connected to an IDS submitted on September 1, 2005 (copy enclosed). No fee was required because of when the IDS was submitted. Since it was "after the events of paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application..." certification of paragraph 2 was provided, nullifying the required fee. The charge incurred is the result of PTO oversight.

Kindly credit the refund of \$180.00 to the deposit account of undersigned, no. 23-0975. If there are any questions, please contact Kara Reade, Accounting Assistant, at (202) 721-8226.

Respectfully submitted,

Akira KAMIYA

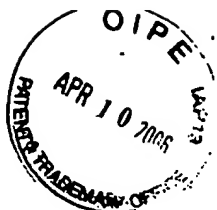
By


David M. Ovedovitz
Registration No. 45,336
Attorney for Applicant

DMO/ker
WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006-1021
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April 10, 2006

2001_0976A

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 5407
Akira KAMIYA : Docket No. 2001_0976A
Serial No. 09/900,168 : Group Art Unit 2613
Filed July 9, 2001 : Examiner Richard J. Lee

APPARATUS AND METHOD
OF MULTIPLE DECODING

COPY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/ _____;
and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

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- 1b. ☒ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☒ the certification of paragraph 2 below is provided, or
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☒ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

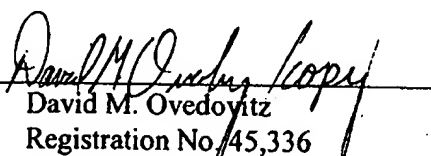
3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.
6. ☒ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Akira KAMIYA

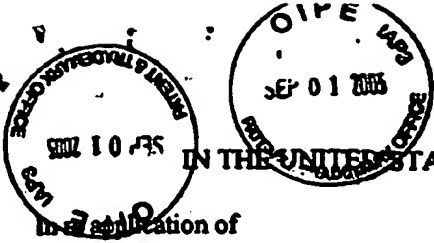
By 
 David M. Ovedovitz
 Registration No. 45,336
 Attorney for Applicant

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 September 1, 2005

Sheet 1 of 1		INFORMATION DISCLOSURE STATEMENT					
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: September 1, 2005		ATTY DOCKET NO. 2001_0976A		SERIAL NO. 09/900,168			
		APPLICANT Akira KAMIYA					
		FILING DATE July 9, 2001				GROUP 2613	
U.S. PATENT DOCUMENTS							
INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AA	6,061,402	5/2000	Boyce et al.				
AB	6,026,088	2/2000	Rostoker et al.				
AC							
AD							
AE							
AF							
AG							
AH							
AI							
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
AJ	0 817 492	1/1998	EP				
AK							
AL							
AM							
AN							
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO	Sarginson, P.A.: "MPEG-2: A Tutorial Introduction To The Systems Layer", IEE Colloquium On 'MPEG-2 - What It Is and What It Isn't' (Digest No. 1995/012) IEE London, UK, 1995, pages 4/1-13, XP006529329					
	AP						
	AQ						
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.

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2613

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

: Confirmation No. 5407

Akira KAMIYA

: Docket No. 2001_0976A

Serial No. 09/900,168

: Group Art Unit 2613

Filed July 9, 2001

: Examiner Richard J. Lee

APPARATUS AND METHOD
OF MULTIPLE DECODING

The PTO did not receive the following
listed items(s) FIR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

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and thus no certification and/or fee is required.

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